

**COMMONWEALTH OF KENTUCKY  
PUBLIC PROTECTION CABINET  
BOARD OF ALCOHOL AND DRUG COUNSELORS  
ADMINISTRATIVE ACTION NO. 2020-KBADC-0004**

**STEVANIE SMITH**

**PETITIONER**

**V.**

**BOARD OF ALCOHOL AND DRUG COUNSELORS**

**RESPONDENT**

**AGREED ORDER**

\*\*\*\*\*

The Kentucky Board of Alcohol and Drug Counselors (Board) and Stevanie Smith (Respondent) hereby enter into this Agreed Order to resolve this case.

**Facts**

Respondent is a Licensed Clinical Alcohol and Drug Counselor in the Commonwealth of Kentucky, LCADC License No. 171070. Under KRS 309.080, *et seq.*, the Board is authorized to regulate matters related to the licensing and practice of professional counseling. Under KRS 309.081, 309.0813, 309.086, and 309.089, the Board is authorized to take disciplinary action against a license holder.

Respondent owns Essential Healing IOP. In March 2018, Respondent used office space that was leased by a social worker. A Client was referred by the Department of Community Based Services to the social worker for a Domestic Violence Assessment. The social worker asked Respondent to complete the assessment of the Client. Respondent completed the assessment of the Client over several months during eight appointments lasting 20 hours.

In September 2018, Respondent engaged in sexual activity with the Client. According to Respondent, it was a single instance. Respondent admitted that she crossed a professional boundary with the Client and that she should not have engaged in the sexual encounter with the Client.

In October 2018, Respondent hired the Client. At this same time, the Client applied with the Kentucky Board of Alcohol and Drug Counselors to become a temporary registered peer support specialists with Respondent as her supervisor. The ADC Board approved the Client's application and supervisory agreement on October 9.

According to the Client, she and the Respondent engaged in sexual activity on several occasions at Respondent's house after the Client was hired by Respondent.

In November 2018, a student counselor, who Respondent supervised, provided counseling to the Client in an office located at Respondent's practice.

Respondent denies that the Client was ever her client and that no sexual activity occurred after she employed the Client.

There is substantial evidence to find that the Respondent, under KRS 309.086, violated the Code of Ethics by:

- (1) Failed to advance and protect the welfare of the client in violation of KRS 309.086(1)(d) by violating 201 KAR 35:030, Section 1(1)(a);
- (2) Failed to clearly define the relationship and boundaries of the peer support specialist's employment position after the client had received services as a client with Respondent in violation of KRS 309.086(1)(d) by violating 201 KAR 35:030, Section 1(2);
- (3) Engaged in a dual relationship with a client in violation of KRS 309.086(1)(d) by violating 201 KAR 35:030, Section 1(3)(c);
- (4) Engaged in a romantic or sexual relationship with a current or former client in violation of KRS 309.086(1)(d) by violating 201 KAR 35:030, Section 1(1)(d)1;

(5) Engaged in sexual harassment or exploitation of a client or supervisee in violation of KRS 309.086(1)(d) by violating 201 KAR 35:030, Section 1(1)(d)2;

(6) Failed to be aware of her influential position with respect to a supervisee in violation of KRS 309.086(1)(d) by violating 201 KAR 35:030, Section 5(1);

(7) Failed to avoid a dual relationship with a supervisee that could impair professional judgment or increase the risk of exploitation in violation of KRS 309.086(1)(d) by violating 201 KAR 35:030, Section 5(3); and

(8) Engaged in sexual intimacy or contact with a supervisee in violation of KRS 309.086(1)(d) by violating 201 KAR 35:030, Section 5(6).

Respondent's conduct, if proven, would constitute a violation of KRS 309.086 and various provision of the Board's Code of Ethics under 201 KAR 35:030.

The Parties mutually desire to settle the issues in an expeditious manner, without the need for a formal hearing.

Accordingly, it is hereby stipulated and agreed between the undersigned Parties this matter shall be settled and resolved upon the following terms:

### **Findings**

For the purpose of this Agreed Order, the Respondent acknowledges that the Board could find by a preponderance of the evidence that she engaged in conduct that violates the provisions of KRS 309.086 and the Board's Code of Ethics under 201 KAR 35:030 and that this conduct would constitute grounds for revocation of her license.

### **Voluntary Waiver of Rights**

The Respondent has had the opportunity at all times to seek the advice from competent counsel of choice. No coercion has been exerted upon the Respondent, nor have any promises been made other than those reflected in this Agreed Order. The Respondent has freely and voluntarily entered into this Agreed Order, motivated only by a desire to resolve the issues addressed herein. The Respondent has executed this Agreed Order only after a careful reading

of it and a full understanding of all of its terms. The Respondent waives the right to challenge any agreed-upon term or condition of this Agreed Order notwithstanding any other statutory provision of KRS 309.080 to KRS 309.089, or 201 KAR Chapter 35. The Respondent expressly agrees those agreed upon terms and conditions contained therein are exclusively a matter of private right.

The Respondent is fully aware of the rights to contest charges in a formal hearing. These rights include: representation by an attorney at the Respondent's own expense; the right to a public hearing on any charges contained in the Formal Complaint; the right to confront and cross-examine witnesses called to testify against the Respondent; the right to present evidence on the Respondent's behalf; the right to compulsory process to secure the attendance of such witnesses; the right to testify on the Respondent's own behalf; the right to receive written findings of fact and conclusions of law supporting the decision on the merits of the Formal Complaint; the right to obtain judicial review of the Board's decision; and the right to appeal any final order of the Board to the Circuit Court as otherwise allowed by KRS 309.086. All of these rights are being voluntarily waived by the Respondent in exchange for the Board's acceptance of this Agreed Order.

### **Jurisdiction**

The Respondent acknowledges the Board has jurisdiction over the Respondent and the conduct, which has precipitated this Agreed Order. The Respondent also acknowledges the Board has the legal power to take disciplinary action up to and including revocation of the Respondent's license to practice professional counseling in Kentucky. The Respondent acknowledges the Board will retain jurisdiction over this matter until all terms and conditions set forth in this Agreed Order have been met to the satisfaction of the Board.

### **Publication of Agreed Order**

The Respondent acknowledges, once adopted by the Board, this Agreed Order is considered a public document, available for inspection at any time by any member of the public under the Kentucky Open Records Act. Further, the Respondent understands the Board is free to make any use it deems appropriate of the contents of this Agreed Order, which shall include the Board's ability to share the content of this Agreed Order with any governmental or professional board or organization, publication of a summary in the Board's newsletter, reporting under federal law, and availability via the Board's web site.

### **Terms of Agreement**

**NOW THEREFORE**, in consideration of the mutual promises, covenants and agreements set forth below, the adequacy and sufficiency of which are hereby acknowledged, the Parties hereby covenant and agree as follows:

For the purpose of this Agreed Order, the Respondent admits to the factual allegations above and acknowledges that the Board could find that she engaged in conduct that violated the provisions of KRS 308.086 and 201 KAR 35:030.

#### **The Respondent agrees to:**

- (1) The revocation of her license for a period of one (1) year; and**
- (2) Not violate the provisions of KRS 309.080 to 309.089 or 201 KAR Chapter 35.**

#### **The Board agrees to:**

- (1) Revoke Respondent's license for a period of one (1) year; and**
- (2) Not seek any additional disciplinary action against Respondent based on the factual allegations set forth in this Agreed Order.**

\* \* \*

The Parties agree to execute all documents necessary to settle this matter.

The Respondent expressly understands, once executed, failure to comply with and complete all terms of this Agreed Order shall constitute failure to comply with an Order of the Board under KRS 309.086 and subject the Respondent to further disciplinary action, for which the Board may impose additional penalties available under law after notice and opportunity to be heard.

The Parties agree to take all actions necessary that would cause the conditions and obligations under this Agreed Order to become effective upon the date of this Agreed Order. In the event that additional documents may need to be executed after the date of this Agreed Order, the Parties agree to cooperate with each other and execute such additional documents to effectuate the purposes as stated herein.

Each of the Parties represents and warrants to the other that it: (1) has taken all requisite action to authorize the execution, delivery and performance of its obligations hereunder; (2) has all requisite power and authority to enter into this Agreed Order and to effectuate the purposes herein; (3) the Agreed Order will constitute the valid and legally binding obligation of each Party; and (4) the Agreed Order will be enforceable against each Party in accordance with the respective terms hereof.

This Agreed Order shall be governed in all respects, whether as to validity, construction, capacity, performance or otherwise, in accordance with the laws of the Commonwealth of Kentucky without reference to its choice of law rules. Any dispute arising hereunder shall be settled by a state court of appropriate jurisdiction in Franklin County, Kentucky. The Parties irrevocably consent to the personal jurisdiction and venue of such court. The Parties agree that any

such litigation shall be by bench trial only, each of the Parties irrevocably waiving its right to jury trial in any dispute arising hereunder.

This Agreed Order may not be modified except by a written agreement signed by all Parties. The Parties represent, agree, and acknowledge that they have read this Agreed Order in its entirety and fully understand and agree to its terms.

### **Release of Liability**

In consideration of execution of this Agreed Order, the Respondent, the Respondent's executors, administrators, successors and assigns, hereby releases and forever discharges the Commonwealth of Kentucky, the Board, and the Public Protection Cabinet, and each of their members, agents, and employees in their individual and representative capacities, from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known and unknown, in law or equity, the Respondent ever had, now has, may have or claim to have against any or all of the persons or entities named in this paragraph arising out of or by reason of this investigation, this disciplinary action, this Agreed Order, or its administration.

### **Acceptance by the Board**

This Agreed Order, once executed by the Respondent, shall be presented to the Board at the next regularly scheduled meeting of the Board following receipt of the executed Agreed Order. The Agreed Order shall not become effective until it has been approved by a majority of the Board and endorsed by the Board Chair or acting Chair.

The Respondent understands the Board is free to accept or reject this Agreed Order, and if rejected by the Board, a formal disciplinary hearing against the Respondent may be scheduled thereafter with the Hearing Officer and counsel. The Respondent hereby agrees to

waive any right the Respondent might have to challenge the impartiality of the Board, based solely upon the presentation of this Agreed Order, to hear the disciplinary charges if, after review by the Board, this Agreed Order is rejected.

If this Agreed Order is not accepted by the Board, it shall be regarded as null and void. Admissions by the Respondent in the Agreed Order will not be regarded as evidence against the Respondent at the subsequent disciplinary hearing. The Respondent will be free to defend herself and no inferences against the Respondent will be made from the Respondent's willingness to have entered into this Agreed Order.

#### **Effective Date**

The effective date of this Agreed Order shall be the date it is accepted by the Board and signed by the Chairperson of the Board.

#### **Costs**

The Parties shall bear their respective costs.

#### **Complete Agreed Order**

This Agreed Order embodies the entire agreement between the Board and the Respondent. This Agreed Order shall constitute a binding contract between the Respondent and the Board, subject only to approval by the Board as set forth above. The Respondent shall not rescind, revoke, withdraw, or seek to modify this Agreed Order prior to or during its presentation to the Board at a regularly scheduled meeting of the Board. It may not be altered, amended or modified without the express written consent of both parties.

#### **Cooperation with the Board**

The Respondent agrees to permit and cooperate with the Board, its members, agents, and employees, and its Impairment Committee and representatives, to monitor the



Respondent's compliance with the terms and conditions of this Agreed Order. The Respondent shall sign and file any appropriate authorizations, releases, or both for information that may be requested by the Board or its representative member.



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Chair, Kentucky Board of  
Alcohol and Drug Counselors

Date

09/23/2021

**Have Seen, Understood, and Agree:**



Brian R. Good  
Elder & Good  
159 St. Matthews Ave., Ste. 1  
Louisville, KY 40207  
[bgood@eldergood.com](mailto:bgood@eldergood.com)

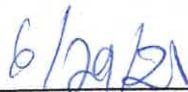


Stevanie Smith  
*Respondent*

Date 6/29/21

*Counsel for Respondent*

Date



Shan Dutta  
Public Protection Cabinet  
Office of Legal Services  
500 Mero Street, 218NC  
Frankfort, KY 40601  
[sdutta@ky.gov](mailto:sdutta@ky.gov)  
*Attorney for the Board*

Date: \_\_\_\_\_

**Have Seen, Understood, and Agree:**

\_\_\_\_\_  
Brian R. Good  
Elder & Good  
159 St. Matthews Ave., Ste. 1  
Louisville, KY 40207  
[bgood@eldergood.com](mailto:bgood@eldergood.com)

*Counsel for Respondent*

Date \_\_\_\_\_

\_\_\_\_\_  
Stevanie Smith  
*Respondent*

Date \_\_\_\_\_

/s/ Leah Cooper Boggs  
Leah Cooper Boggs, General Counsel  
Department of Professional Licensing  
Public Protection Cabinet  
Office of Legal Services  
500 Mero Street  
Frankfort, KY 40601  
[lboggs@ky.gov](mailto:lboggs@ky.gov)  
*Attorney for the Board*

Date: 9/23/2021

## CERTIFICATE OF SERVICE

I hereby certify that today a copy of the Agreed Order was mailed by regular first class mail and email to:

Brian R. Good  
Elder & Good  
159 St. Matthews Ave., Ste. 1  
Louisville, KY 40207  
[bgood@eldergood.com](mailto:bgood@eldergood.com)  
*Counsel for Respondent,*

And via electronic mail to:

Eden Davis Stephens, Deputy Director  
Office of Administrative Hearings  
500 Mero Street, Frankfort, KY 40601  
[eden.stephens@ky.gov](mailto:eden.stephens@ky.gov)  
*Hearing Officer*

Leah Cooper Boggs, General Counsel  
Department of Professional Licensing  
Public Protection Cabinet  
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500 Mero Street  
Frankfort, KY 40601  
[lboggs@ky.gov](mailto:lboggs@ky.gov)  
*Attorney for the Board*

Date: 09/23/2021



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Board Administrator